

10-01-03 Ink

2834

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))	Docket No. 08440.003001
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In Re Application Of: Kazuhiko YAMANOUCHI



Serial No. 10/085,943	Filing Date February 28, 2002	Examiner Mark Budd	Group Art Unit 2834
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Title: SURFACE ACOUSTIC WAVE SUBSTRATE AND SURFACE ACOUSTIC
WAVE FUNCTIONAL ELEMENT

22511
PATENT TRADEMARK OFFICE

Address to:
Commissioner for Patents
P.O. Box 1450
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37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

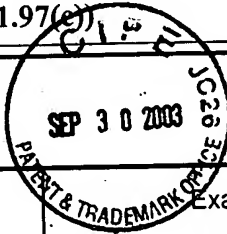
37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR
- ☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(e))

Docket No.
08440.003001

In Re Application: **Kazuhiko YAMANOUCI**



Serial No.
10/085,943

Filing Date
February 28, 2002

Examiner
Mark Budd

Group Art Unit
2834

**SURFACE ACOUSTIC WAVE SUBSTRATE AND SURFACE ACOUSTIC
WAVE FUNCTIONAL ELEMENT**

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **50-0591**
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Dated: **9/30/03**

#45,079
Signature
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CC:

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



Docket Number (Optional)

08440.003001

Application Number

10/085,943

Applicant(s)

Kazuhiko YAMANOUCHI

Filing Date

February 28, 2002

Group Art Unit

2834

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A1	5,894,251	04/13/1999	Taguchi et al.	333	193	06/11/1997
	A2	5,844,453	12/01/1998	Matsui et al.	333	193	05/29/1996

FOREIGN PATENT DOCUMENTS

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Kazuhiko YAMANOUCHI

Docket No.

08440.003001

Serial No.

10/085,943

Filing Date

February 28, 2002

Examiner

Mark Budd

Group Art Unit

2834

Invention:**SURFACE ACOUSTIC WAVE SUBSTRATE AND SURFACE ACOUSTIC WAVE
FUNCTIONAL ELEMENT**

I hereby certify that the following correspondence:

Information Disclosure Statement

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office To Addressee" service
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